Policy Title: Board of Examiners Policy

Descriptors: 1) Boards of Examiners 2) Conceded Pass 3) Supplementary Assessment

Category: Academic Affairs

1. Intent
This policy defines the processes and procedures of the Faculty Boards of Examiners relating to the determination of student results and progression through courses.

2. Organisational Scope
Service Centres, Faculties and Schools

3. Definitions

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Board of Examiners; Board; BOE</td>
<td>Refer to a Board of Examiners for a Faculty</td>
</tr>
<tr>
<td>Dean</td>
<td>Refers to the Executive Dean of a Faculty and the Dean of the Faculty of Regional Professional Studies or a person acting in that position</td>
</tr>
<tr>
<td>Designated Unit</td>
<td>A unit or unit of competency which a Faculty Board has designated as a fundamental course requirement and may only be attempted once, unless otherwise decided by the relevant Board of Examiners</td>
</tr>
<tr>
<td>Director, SSC</td>
<td>Refers to the Director, Student Services Centre, or the successor to that position or a person acting in that position or his or her nominee</td>
</tr>
<tr>
<td>Elective Unit</td>
<td>A unit of study that, subject to the requirements of the course, a student may choose to undertake for credit</td>
</tr>
<tr>
<td>Required Unit</td>
<td>A unit which a student must complete in order to fulfil the requirements of a course</td>
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</tbody>
</table>
4. Policy Content

4.1 Assessment of specifically identified thesis/project units requiring examination in undergraduate Honours degrees, Masters by Coursework degrees, Master by Research degrees and Doctoral degrees is the responsibility of the Committees designated in Rules 30, 41, 50 (Attachment 1).

These Committees (through the appropriate Offices) forward to the Student Services Centre the grades for thesis/project units once all requirements are completed and the recommended level of Honours, if appropriate.

4.2 The determination of student progression will be made through the following process:

- Students’ individual marks, other than those described in 4.1, will be submitted by Schools to the Student Services Centre through the Marks Recording System;

- At the end of a teaching period, the Student Services Centre will consolidate the marks for each student and send the individual student BOE records for each course to the School showing the recommendation on progression status as determined by the Student Records System;

- The School will review the results and the recommendations on progression status for each student provided by the Student Records System;

- Where a progression status recommended by the Student Records System is accepted by the School, no annotation of the BOE record is required;

- Where a progression status recommended by the Student Records System is not accepted by the School, the BOE record will be annotated to indicate the new recommendation and the reasons for the decision;

- Where the Student Records System indicates a decision on the progression status is required, for example, conceded pass or supplementary assessment, the School will determine and recommend an outcome and annotate the BOE records accordingly;

- Each School will submit the set of annotated BOE Records and recommendations to the Faculty Board of Examiners. The recommendations will cover any matters relevant to the Board including:
  - awarding of Conceded Passes and Supplementary Assessments;
  - matters where the Rules provide for further actions following determination of each student’s academic progression status (Rule 25(7)).

- The Board of Examiners will make a determination on the School’s recommendations and confirm the progression status of all students.
4.3 Each Board shall ensure that a record is made of decisions taken. This may take the form of annotated Boards of Examiners Reports recording decisions including contingent decisions that indicate the decision to be made upon receipt of further information. The Director, Student Services Centre or nominee, as Executive Officer, is responsible for ensuring that the decisions of each Board are recorded, processed and stored. In the event of appeals or other queries, this record is definitive.

4.4 The Faculty shall record minutes of each meeting detailing those present, the courses reviewed and any matters that may be the subject of further action or any matters relating to the Board’s operations. Minutes must be signed off by the Chair of the Board. (Please refer to Attachment 4)

4.5 A list of all students who have been cleared to graduate at a meeting of a Board of Examiners will be prepared by the Student Services Centre and approved by the Director, Student Services Centre. This will be forwarded to the Vice-Chancellor as per the Conferral and Presentation of Academic Awards policy.

4.6 Extraordinary meetings of the Board of Examiners can be held if the need arises.

4.7 The Rules defining the operations and composition of Boards of Examiners should be consulted by Boards and the Chairperson of each Board should ensure that they are adhered to. Relevant Rules are:

<table>
<thead>
<tr>
<th>Rule(s)</th>
<th>Scope of Rule for Boards</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Status, role and composition of a Board</td>
</tr>
<tr>
<td>25, 26, 27, 28</td>
<td>Review of academic progression status for students</td>
</tr>
<tr>
<td>29</td>
<td>Permitting repeat of failed units</td>
</tr>
<tr>
<td>25(3)</td>
<td>Granting conceded passes and supplementary assessments</td>
</tr>
</tbody>
</table>
| 25(7)   | Defining further powers including  
|         | • Excluding a student from enrolling in any unit  
|         | • Placing a student on a restricted program of study |
| 38      | Receiving reports of supervisors of candidates in thesis studies on the progress of the students |
| 41, 50  | Receiving and responding to recommendations relating to thesis studies from the relevant Committee (defined in Rule 30) for:  
|         | • exclusion of a candidate;  
|         | • admission to an award;  
|         | • determination of the status of the candidate. |

4.8 Where possible, all Faculties will apply a common set of conditions for awarding of Conceded Passes and Supplementary Assessments as provided in Rule 25(3). These are shown in Attachment 3.
5. References

<table>
<thead>
<tr>
<th>Policy Code:</th>
<th>ac054 PL027</th>
<th>File No: SUB/2695</th>
</tr>
</thead>
<tbody>
<tr>
<td>Policy Owner:</td>
<td>Pro-Vice-Chancellor (Teaching and Learning)</td>
<td></td>
</tr>
<tr>
<td>Approved by:</td>
<td>Vice-Chancellor</td>
<td></td>
</tr>
<tr>
<td>Date Approved:</td>
<td>10 May 2005, 18 March 2008</td>
<td></td>
</tr>
<tr>
<td>Revision Date:</td>
<td>November 2012</td>
<td></td>
</tr>
<tr>
<td>Amendments:</td>
<td>April, 2006 (AB30/06), 26 July, 2007 (AB68/07), 18 March, 2008 (AB4/08), 9 December, 2009 (AB64/09), 20 September 2011 (AB75/11)</td>
<td></td>
</tr>
<tr>
<td>Related Policies/Documents:</td>
<td>ECU Admission, Enrolment and Progression Rules; Conferral and Presentation of Academic Awards Policy</td>
<td></td>
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</table>

6. Contact Information

<table>
<thead>
<tr>
<th>Contact Person:</th>
<th>Professor Ron Oliver</th>
</tr>
</thead>
<tbody>
<tr>
<td>Telephone:</td>
<td>6304 2313</td>
</tr>
<tr>
<td>Email address:</td>
<td><a href="mailto:r.oliver@ecu.edu.au">r.oliver@ecu.edu.au</a></td>
</tr>
</tbody>
</table>
Roles, Responsibilities and Relationships - assessment, determination of academic progression status and conferral of awards

The purpose of this attachment is to clarify the roles of Boards of Examiners and “Committees” established under the Rules (usually FRHD and RSSC) to deal with the assessment of theses/projects. The model represented at Figure 1 clearly differentiates between assessment processes (of units and theses/projects requiring examination) and the determination of student academic progression status (good standing; probation, suspended, excluded etc). The model attempts to clearly identify the roles of Schools/Faculties, Faculty RHD Committees (or alternative committees set up by Faculties) and their Offices, the Research Students and Scholarships Committee and Graduate Research School Office, and Boards of Examiners.

In this model, the following responsibilities are allocated:

1. **Student academic progression status** (including clearance to graduate) is the responsibility of Faculty Boards of Examiners;

2. **Assessment** of specifically identified thesis/project units requiring examination in undergraduate Honours degrees and some Masters by Coursework degrees is the responsibility of **Faculty RHD Committees** (or alternative committees set up by Faculty Boards). Faculties/Schools must develop a list of relevant courses and units. The Faculty RHD Office forwards to the Student Services Centre:
   i. Grades for thesis/project units once all requirements are completed;
   ii. The recommended level of Honours, if appropriate;
   iii. Notification that the student is considered ready to graduate, if appropriate.

3. **Assessment** of thesis/project units requiring examination in Masters by Research and all Doctoral degrees is the responsibility of the **Research Students and Scholarships Committee** (or alternative committees set up by the University Research and Higher Degrees Committee). Faculties and the Graduate Research School must develop a list of relevant courses and units. The Graduate Research School forwards to the Student Services Centre:
   i. Grades for thesis/project units once all requirements are completed;
   ii. Notification that the student is considered ready to graduate, if appropriate.

4. **Assessment** of all other units is the responsibility of **Schools** – these marks are submitted by Schools to the Student Services Centre. The Student Services Centre forwards consolidated individual student records for each course to Faculty Boards of Examiners.

5. **The Student Services Centre** forwards Boards of Examiners Reports including consolidated individual student records and advice from Faculty R&HD Offices and the Graduate Research School, where appropriate, to Boards of Examiners.
FOLLOWING BOARDS OF EXAMINERS MEETINGS:

1. **Minutes of the meeting are prepared by the relevant Faculty.** These will include reference to students’ unit grades and course status as identified on annotated Boards of Examiners Reports (held by SSC).

2. **SSC will provide a ‘cleared to graduate’ list** to be attached to the minutes.

3. **The Director, Student Services Centre**
   i. Retains a copy of the Board of Examiners minutes;
   ii. Approves the ‘cleared to graduate’ list.

3. **The Vice-Chancellor** signs the ‘cleared to graduate’ list, at which time conferral formally takes place. The date of conferral is recorded as the date of the relevant Board of Examiners meeting.

4. **The Student Services Centre**

   i. Ensures student results and progression status are entered onto the Student Record System in accordance with the determinations of Faculty Boards of Examiners;
   ii. Provides graduating students with a Statement of Academic Record (transcript) and a letter of congratulations on course completion (which also includes information regarding attendance at graduation ceremonies);
   iii. Provides lists of graduating students to the Graduate Research School (for all Doctoral and Masters by Research graduates) and Faculty Research and Higher Degrees Offices (for all Doctoral, Masters by Research, Masters by Coursework and Honours graduates);
   iv. Alters student designations on the Student Records System to “Dr” for all doctoral graduates, after conferral takes place.
   v. Notifies students on Probation and At-Risk of opportunities for learning support services and intervention strategies.
   vi. Notifies students of exclusions from units and unitsets.
Figure 1. Roles, responsibilities and relationships - assessment, academic progression status determination and conferral of awards

**Student Services Centre (SSC)**
Forwards to School:
1. Board of Examiners Reports; and
2. Advice from Faculty R&HD and Graduate Research School Office, if appropriate.

**School**
School submits marks to SSC via the University Marks Recording System.

**Faculty R&HD Office**
Advises SSC:
1. Grade for thesis / project once all requirements are completed;
2. Level of Honours if appropriate; and
3. Student is considered ready to graduate, if appropriate.

**Faculty R&HD Committee**
Manages thesis/project assessment.

**Graduate Research School Office**
Advises SSC:
1. Grade for thesis once all requirements are completed; and
2. Student is considered ready to graduate, if appropriate.

**Board of Examiners**
Determine academic progression status. Board of Examiners’ minutes will include unit grades and courses status (including students cleared to graduate) as identified on notated Board of Examiners’ Reports.

**Director, SSC**
Receives and retains Board of Examiners minutes; Approves ‘cleared to graduate’ list

**Vice-Chancellor**
Signs ‘cleared to graduate’ list – formalising conferral.

**Academic Progression Status Determination (including ‘Cleared to Graduate’)**

**Masters (Research) & all Doctorates**
Research Students & Scholarship Committee manages thesis/project assessment.
Paperwork Decisions and Flow for Boards of Examiners (BOE)

The Board of Examiner process involves the preparation and adjustment of a number of BOE-related documents.

The documents described below will show students sorted by course, and within course by progression status. The order of progression status will be suspension, exclusion, at risk, probation and good standing.

1. The BOE Recommendations Report

This report shows students where BOE recommendations are required. On this report the following information is shown requiring a recommendation by the BOE:
   a. Conceded Passes/Supplementary assessments;
   b. Student has been enrolled for more than the maximum time for a course;
   c. Student is Cleared to Graduate or has met the required credit points for a course
   d. The student is deemed to be At-Risk and requiring assistance; or
   e. The student is to be Suspended or Excluded.

2. The BOE Endorsement Report

A report of automated outcomes that require no further recommendations. The Report shows a recommended Progression Status for each student based on the ECU rules for the BOE. The endorsement of the BOE results in the following decisions for the students listed in the report:
   a. The student is on Good Standing;
   b. The student is to be placed on Probation;

3. Missing Marks Report

When marks are missing for students, the BOE is not able to make a recommendation. Students with missing marks are not shown on the Recommendations Report or the Endorsement report. The Missing Marks Report shows all students with missing marks. Schools use this report to determine where marks are needed and to submit the marks. Students who have no missing marks for the teaching period will have their records considered through either the Recommendations Report or the Endorsement report at the next BOE.
### Attachment 3

**Guidelines for Applying Admission, Enrolment and Progress Rule 25 - Conceded Passes and Supplementary Assessments**

Apply from top to bottom – use the outcome associated with the first case that applies.

1. These guidelines are intended to guide Faculty Boards of Examiners and Schools to apply Rule 25(3) consistently across the University and over time.

2. The Boards may choose to exercise their power under Rule 25(3) in ways that reflect particular circumstances not allowed for in the cases below.

3. Where a Board develops further cases that will generally apply for that Faculty, other boards will be notified.

<table>
<thead>
<tr>
<th>Case No.</th>
<th>Conditions</th>
<th>Required* or Elective Unit</th>
<th>Initially recommended Grade</th>
<th>Mark in range</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The unit in question is a designated unit #</td>
<td>Required</td>
<td>N or I</td>
<td>0 - 100</td>
<td>Original result stands</td>
</tr>
<tr>
<td>2</td>
<td>The unit in question is the final unit in the course</td>
<td>Required</td>
<td>N or I</td>
<td>40 - 100</td>
<td>Supplementary Assessment</td>
</tr>
<tr>
<td>3</td>
<td>The unit in question is the final unit in the course</td>
<td>Elective</td>
<td>N</td>
<td>40-44</td>
<td>Supplementary Assessment</td>
</tr>
<tr>
<td>4</td>
<td>The student has less than 60 credit points in the course</td>
<td>Required</td>
<td>N or I</td>
<td>45 - 100</td>
<td>Supplementary Assessment</td>
</tr>
<tr>
<td>5</td>
<td>The student has 60 credit points in the course</td>
<td>Required</td>
<td>N or I</td>
<td>45 - 100</td>
<td>Original result stands/ Supplementary assessment at the discretion of the BOE</td>
</tr>
<tr>
<td>6</td>
<td>A Conceded Pass is prohibited under accreditation requirements</td>
<td>Elective</td>
<td>N or I</td>
<td>45 - 100</td>
<td>Original result stands</td>
</tr>
<tr>
<td>7</td>
<td>The unit in question is an elective unit</td>
<td>Elective</td>
<td>N or I</td>
<td>45 - 100</td>
<td>Conceded Pass/ Supplementary assessment at the discretion of the BOE</td>
</tr>
</tbody>
</table>

* # a designated unit is a unit or unit of competency which a Faculty Board has designated as a fundamental course requirement and may only be attempted once, unless otherwise decided by the relevant Board of Examiners.

* * a required unit is a unit which a student must complete in order to fulfil the requirements of a course.
Template for Minutes of a Board of Examiners Meeting

Faculty of ___________________ Board of Examiners

Minutes

<date>
at <time>
in <location>

1 Present OR Electronic Participants:
   Chair (Dean's nominee):
   Other Faculty members of the Board:
   Executive officer (Director SSC nominee):
   Others present:

   The annotated Board of Examiners Report (Recommendations Report and Endorsement Report) detailing the Courses Reviewed

2 Matters to be brought to the attention of the Faculty Board or attended to after the meeting.

3 Record of Decisions being the annotated Board of Examiners Report

4 Minutes to be signed and dated by Chair of Board of Examiners – original copy to be held by the Director, Student Services Centre